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**New Mexico Regulation and Licensing Department**  
**BOARDS AND COMMISSIONS DIVISION**  
**New Mexico Public Accountancy Board**  
5500 San Antonio Drive, NE • Suite A • Albuquerque, New Mexico 87109  
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**MINUTES**  
Board Meeting  
February 16, 2016

**BOARD MEMBERS IN ATTENDANCE:**

Mr. C. Jack Emmons, CPA, Chairman,  
Mr. Patrick Stewart, Vice Chair  
Mr. William Golden, CPA, Secretary (telephonically)  
Ms. Maria Cassimus, CPA  
Mr. Farley Vener, CPA

**BOARD MEMBERS NOT IN ATTENDANCE:**

Mr. Dennis Houston, Treasurer  
Mr. Benjamin Roybal, Public Member

**BOARD STAFF IN ATTENDANCE:**

Ms. Jeanette Contreras, Executive Director  
Ms. Jessica Chavez-Lance, Licensing Manager  
Mr. Ernest Santucci, Investigator  
Ms. Alexa Martinez, Administrative Assistant

**ATTORNEY GENERAL'S OFFICE  
STAFF IN ATTENDANCE:**

Ms. Susan Sullivan, AAG, Board Counsel

**PUBLIC IN ATTENDANCE:**

Mr. Brian D. Morrison, IRS  
Mr. John A. Carey, NMSCPA

**PLACE & TIME:**

The New Mexico Public Accountancy Board held a Board Meeting on February 16, 2016, at 9:00 a.m. in the RLD Conference Room, 5500 San Antonio Dr. NE, Albuquerque, NM 87109.

**1. CALL TO ORDER; ROLL CALL; INTRODUCTION OF GUESTS**

Chairman Emmons called the meeting to order at 9:03 a.m. Roll call was taken: Chairman C. Jack Emmons, Mr. Patrick Stewart, Mr. William Golden (telephonically), Ms. Maria Cassimus, and Mr. Farley Vener were present at this time. A quorum was determined present.

Board members not present at this time were: Mr. Dennis Houston and Mr. Benjamin Roybal.

Audience introductions were made following roll call.

**2. REVIEW AND APPROVAL OF AGENDA**

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A motion to approve the agenda as presented was made by Mr. Stewart and seconded by Ms. Cassimus.

The Board voted unanimously to pass the motion.

**3. APPROVAL OF MINUTES FROM DECEMBER 8, 2015, REGULAR BOARD MEETING**

A motion was made by Ms. Cassimus, and seconded by Mr. Vener to approve the minutes of the December 8, 2015, regular Board Meeting.

The Board voted unanimously to pass the motion.

**4. ELECTION OF OFFICERS FOR 2016**

Mr. Vener proposed the following slate of officers for the Board's consideration:

CHAIR- C. JACK EMMONS  
VICE CHAIR- PATRICK STEWART  
SECRETARY- DENNIS HOUSTON  
TREASURER- MARIA CASSIMUS

A motion was made by Mr. Stewart, and seconded by Ms. Cassimus to elect the above Officers for the 2016 Terms.

The Board voted unanimously to pass the motion.

**5. RE-APPOINTMENT OF COMPLAINT COMMITTEE MEMBERS FOR 2016**

Volunteers and re-appointment for the Complaint Committee 2016 are as follows:

- Ms. Maria Cassimus
- Mr. Farley Vener
- Benjamin Roybal, Alternative
- Mr. C. Jack Emmons, Alternative

A motion was made by Mr. Stewart and seconded by Chairman Emmons to approve the volunteers for the 2016 term.

The Board voted unanimously to pass the motion.

**6. ANNUAL EVENTS & UPDATES**

- Update on staff and NMPAB vacancies, Licensing Administrator
- Elder Investment Fraud and Financial Exploitation training scheduled dates:
  - Las Cruces- February 22, 2016, Thomas Branigan Memorial Library
  - Roswell- March 14, 2016, Roswell Convention Center
  - Farmington- April 25, 2016, TBD
  - Santa Fe- May 24, 2016, Toney Anaya Building
  - Albuquerque- June 7, 2016, Albuquerque Main Conference Room
- 34<sup>th</sup> Annual ED & State Board Staff Conference in Tucson, AZ, March 15-17, 2016
- "Sunshine Laws" Training held January 15, 2016

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Ms. Contreras updated the Board that Jessica Chavez-Lance has been promoted to Licensing Manager. The Licensing Administrator position is now vacant and should be posted for application shortly. Discussion followed regarding the Elder Investment Fraud and Financial Exploitation training. Available seating will depend upon the facility that has been acquired at the respective locations. Registrants are encouraged to register as soon as possible. Two hours of Ethics CPE will be credited to qualifying attendees. Mr. Santucci is scheduled to attend the 34<sup>th</sup> Annual ED & State Board Staff Conference and was requested to provide an update for the next Board meeting. Chairman Emmons attended the "Sunshine Laws" training and provided an update of the training.

#### **7. CPA EXAMINATION STATISTICS**

- CBT Data For New Mexico

Ms. Contreras provided the Board with the 2015 Q-4 CBT Data for New Mexico for the Board's edification. Chairman Emmons provided comparisons of current to prior data.

#### **8. SWEARING IN CEREMONY**

- Ceremony dates for 2016: Monday, May 9, 2016, and Wednesday, November 16, 2016
- Keynote Speaker-- Mr. Tim Keller, State Auditor, will be invited as Speaker.

#### **9. RULEMAKING DRAFTS FROM RULES COMMITTEE**

- Review, amendment, and approval of draft language developed by the Rules Committee for proposed amendments to Board NMACs.
- Approval to publish notice of Rulemaking Public Hearing.

Chairman Emmons commended and publicly thanked the participants of the Rules Committee Task Force. Time frames for the amendments process were presented. Chairman Emmons updated the Board on the Rules Committee recommendations. The Rules Hearing is scheduled for August 16, 2016. The proposed, amended rules were projected to possibly be in effect by September 30, 2016.

Chairman Emmons made a motion to adjust the draft Rules and proceed with the process.

#### **10. EXECUTIVE SESSION**

Chairman Emmons moved to enter into executive session. The executive session will be held in accordance with NMSA 1978, Sections 10-15-1 (H) (1), for the limited purpose of:

- Consideration of Complaint Committee recommendations in the following cases:
  - Case No. 2016-01
  - Case No. 2016-02
- Proposed Case Closures:
  - Case No. 2016-01
  - Case No. 2016-02
- Applications:
  - Applicant #986328
  - Applicant #987649

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The motion was seconded by Ms. Cassimus to enter into executive session. A roll call vote was taken. Roll call vote: Chairman Jack Emmons-yes, Mr. Patrick Stewart-yes, Mr. Farley Vener-yes, and Ms. Maria Cassimus-yes, to pass the motion.

The members entered into executive session at 9:40 a.m.

### **11. BOARD DECISIONS**

The Board returned from executive session at 10:07 a.m. Chairman Emmons attested that the only items discussed in the executive session were the matters specified on the agenda. A roll call vote was taken: Chairman Jack Emmons, Mr. Patrick Stewart, Mr. Farley Vener, and Ms. Maria Cassimus were present. Mr. William Golden had exited the meeting telephonically at this time.

- **Complaint Committee recommendations:** Motions were made by Mr. Stewart and seconded by Ms. Cassimus, to accept the recommendations of the following cases:
  - Case No. 2016-01      Issue NCA; defer issuance pending acceptance of a Pre-NCA Stipulated Agreement: payment of a \$750.00 fine and completion of 36 CPE hours by March 31, 2016.
  - Case No. 2016-02      Issue NCA; defer issuance pending acceptance of a Pre-NCA Stipulated Agreement: payment of a \$500.00 fine by March 31, 2016.
- **Proposed Case Closures:**
  - Case No. 2016-01      Close with advisory letter
  - Case No. 2016-02      Close
- **Applications:**
  - Applicant #986328      Approved
  - Applicant #987649      Approved

The Board voted unanimously to pass the motions.

### **12. UPCOMING BOARD MEETING DATES FOR 2016:**

- April 19, 2016
- May 9, 2016—Swearing in Ceremony
- June 9, 2016
- August 16, 2016
- October 18, 2016
- November 16, 2016—Swearing in Ceremony
- December 6, 2016

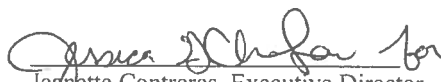
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13. ADJOURMENT

A motion was made by Chairman Emmons and seconded by Ms. Cassimus, to adjourn the meeting at 10:14 a.m.

The Board voted unanimously to pass the motion to adjourn.

  
C. Jack Emmons, CPA, Chairman

  
Jeanette Contreras, Executive Director