



New Mexico Regulation and Licensing Department
BOARDS AND COMMISSIONS DIVISION
New Mexico Public Accountancy Board
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MINUTES
Board Meeting
October 18, 2016

BOARD MEMBERS IN ATTENDANCE: Mr. C. Jack Emmons, CPA, Chairman,
Mr. Patrick Stewart, Vice Chair, Public Member
Mr. Dennis Houston, Secretary, Public Member
Mr. William R. Golden, CPA (telephonically)
Ms. Maria Cassimus, Treasurer, CPA
Mr. Farley Vener, CPA

BOARD MEMBERS NOT IN ATTENDANCE: Mr. Benjamin Roybal, Esq., Public Member

BOARD STAFF IN ATTENDANCE: Ms. Jeanette Contreras, Executive Director
Mr. Ernest Santucci, Investigator
Ms. Jessica Chavez-Lance, Licensing Manager
Ms. Natalie Frazier, Licensing Administrator

**ATTORNEY GENERAL'S OFFICE
STAFF IN ATTENDANCE:** Ms. Kara Szkotak, AAG, Board Counsel

PUBLIC IN ATTENDANCE: John A. Carey, NMSCPA

PLACE & TIME:

The New Mexico Public Accountancy Board held a Board Meeting on October 18, 2016, at 9:00 a.m. in the RLD Conference Room, 5500 San Antonio Dr. NE, Albuquerque, NM 87109.

1. CALL TO ORDER; ROLL CALL; INTRODUCTION OF GUESTS

Chairman Emmons called the meeting to order at 9:00 a.m. Roll call was taken by Ms. Contreras; Chairman C. Jack Emmons, Mr. Patrick Stewart, Ms. Maria Cassimus, Mr. Dennis Houston, Mr. Farley Vener, and Mr. William R. Golden (telephonically), were present at this time. A quorum was determined to be present.

Board members not present at this time were: Mr. Benjamin Roybal.

Audience introductions were made following roll call.

2. REVIEW AND APPROVAL OF AGENDA

Ms. Cassimus moved to approve the agenda as presented and the motion was seconded by Mr. Golden.

The Board voted unanimously to pass the motion.

3. APPROVAL OF MINUTES FROM SEPTEMBER 20, 2016, BOARD MEETING

Mr. Houston moved to approve the minutes for the September 20, 2016, Board Meeting and the motion was seconded by Mr. Vener.

The Board voted unanimously to pass the motion.

4. INTRODUCTION OF NEW LICENSING ADMINISTRATOR

Ms. Contreras introduced Ms. Natalie Frazier to the Board and the Board welcomed her.

5. ANNUAL EVENTS & UPDATES

- Chairman Emmons spoke about the Legislative Finance Committee hearing in Santa Fe, NM, where he addressed the committee. The committee extended the Board's term until 2023. The committee endorsed our law changes regarding attest function and firm mobility.
- Ms. Contreras stated to the Board that new rule books will not be purchased in its current form from the printer used in the past, but rather will be printed in a different format and available online. Mr. Carey stated he would like to obtain rule books for the NM Society of CPA's.
- Ms. Contreras spoke about the Swearing in Ceremony noting that the State Auditor, Mr. Timothy Keller, has accepted her offer to be the key note speaker. Ms. Contreras queried the Board as to who plans to attend the ceremony.

6. ESTABLISH BOARD MEETING DATES FOR 2017

- Chairman Jack Emmons presented the proposed Board meeting dates for 2017.

February 21, 2017
April 18, 2017
June 20, 2017
August 22, 2017
October 17, 2017
December 12, 2017

Mr. Stewart moved to approve the proposed meeting dates and Ms. Cassimus seconded the motion.

The Board voted unanimously to pass the motion.

7. LICENSING MATTERS

- **Request by Hilary Parker for a change of status from inactive to active**

Ms. Frazier presented to the Board Ms. Parker's request to change her license status from inactive to active. Ms. Frazier explained Ms. Parker's background and the reason for the request.

Mr. Stewart moved to approve Ms. Parker's change of status and the motion was seconded by Mr. Houston.

The Board voted unanimously to pass the motion.

8. EXECUTIVE SESSION

Chairman Emmons moved to enter into executive session. The executive session will be held in accordance with NMSA 1978, Sections 10-15-1 (H) (1), for the limited purpose of:

- Consideration of Complaint Committee recommendations in the following cases:
 - Case No. 2016-12
- Proposed Case Closures:
 - Case No. 2016-05
 - Case No. 2016-11

The motion was seconded by Mr. Stewart to enter into executive session. A roll call vote was taken. Roll call vote: Chairman Jack Emmons-yes, Mr. Patrick Stewart-yes, Mr. Dennis Houston-yes, Mr. William Golden-yes (telephonically), Mrs. Cassimus-yes, and Mr. Vener-yes, to pass the motion.

The members entered into executive session at 9:25 a.m.

9. BOARD DECISIONS

The Board returned from executive session at 9:29 a.m. Chairman Emmons attested that the only items discussed in the executive session were the matters specified on the agenda. A roll call vote was taken: Chairman Jack Emmons, Mr. Patrick Stewart, Mr. Dennis Houston, Mrs. Cassimus, Mr. Vener and Mr. William Golden (telephonically) were present.

- Complaint Committee recommendations: Motions were made by Mr. Stewart and seconded by Mrs. Cassimus to accept the recommendations of the following cases:
 - Case No. 2016-12 Issue NCA; defer issuance pending acceptance of a Pre-NCA Stipulated Agreement: payment of \$250.00 fine by November 11, 2016.
- Proposed Case Closures:
 - Case No. 2016-05 Close Case
 - Case No. 2016-11 Close Case

The Board voted unanimously to pass the case closure motions and with complaint committee members Mrs. Cassimus and Mr. Farley abstaining on case 2016-12.

9. REMAINING BOARD MEETING

Chairman Jack Emmons mentioned to the Board that only one meeting remained for 2016 and that the Board should think about a new rules committee for 2017. Mr. Stewart requested a summary from the Attorney General's Office of the 2016 closed and remaining Public Accountancy Board cases.

10. ADJOURNMENT

Mr. Stewart moved to adjourn the meeting at 9:33 a.m. and the motion was seconded by Mr. Golden.

The Board voted unanimously to pass the motion to adjourn.



C. Jack Emmons, CPA, Chairman



Jeanette Contreras, Executive Director