



New Mexico Regulation and Licensing Department
BOARDS AND COMMISSIONS DIVISION
New Mexico Public Accountancy Board
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MINUTES
Board Meeting
September 20, 2016

BOARD MEMBERS IN ATTENDANCE: Mr. C. Jack Emmons, CPA, Chairman,
Mr. Patrick Stewart, Vice Chair, Public Member
Mr. Dennis Houston, Secretary, Public Member
Mr. William R. Golden, CPA (Via Phone)
Mr. Benjamin Roybal, Esq., Public Member

BOARD MEMBERS NOT IN ATTENDANCE: Ms. Maria Cassimus, CPA, Treasurer
Mr. Farley Vener, CPA

BOARD STAFF IN ATTENDANCE: Ms. Jeanette Contreras, Executive Director
Ms. Jessica Chavez-Lance, Licensing Manager
Mr. Ernest Santucci, Investigator
Ms. Alexa Martinez, Administrative Assistant

ATTORNEY GENERAL'S OFFICE
STAFF IN ATTENDANCE: Ms. Kara Szkotak, AAG, Board Counsel

PUBLIC IN ATTENDANCE: John A. Carey, NMSCPA
Dexter Sandoval
Sarah Schlomann

PLACE & TIME:

The New Mexico Public Accountancy Board held a Board Meeting on September 20, 2016, at 9:00 a.m. in the RLD Conference Room, 5500 San Antonio Dr. NE, Albuquerque, NM 87109.

1. CALL TO ORDER; ROLL CALL; INTRODUCTION OF GUESTS

Chairman Emmons called the meeting to order at 9:00 a.m. Roll call was taken: Chairman C. Jack Emmons, Mr. Patrick Stewart, and Mr. William R. Golden (via phone), and Mr. Dennis Houston were present at this time. A quorum was determined present.

Board members not present at this time were: Ms. Maria Cassimus, Mr. Farley Vener, and Mr. Benjamin Roybal.

Mr. Benjamin Roybal arrived at the meeting at 9:04 a.m.

Audience introductions were made following roll call.

2. REVIEW AND APPROVAL OF AGENDA

Mr. Golden moved to approve the agenda as presented and was seconded by Mr. Stewart.

The Board voted unanimously to pass the motion.

3. APPROVAL OF MINUTES FROM AUGUST 16, 2016, BOARD MEETING AND RULES HEARING

Mr. Roybal moved to approve the minutes for the August 16, 2016, Board Meeting and Rules Hearing and was seconded by Mr. Houston.

The Board voted unanimously to pass the motion.

4. ANNUAL EVENTS AND UPDATES

- UNM Career Fair
- Licensing Administrator Position

The UNM Career Fair was a success. Ms. Contreras, Ms. Chavez-Lance, and Chairman Emmons were present to answer questions and pass out literature.

Ms. Contreras informed the Board that the Licensing Administrator position has been filled. Natalie Frazier will be joining the Accountancy Board team starting Monday, September 26, 2016.

5. UNIFORM CPA EXAMINATION INFORMATION

- An Overview of the Current Exam and What to Expect From the 2017 Version

Ms. Contreras and Chairman Emmons provided the Board with an overview of the changes made to the Uniform CPA Examination. It will be in effect beginning April 1, 2017. There will be a higher level of difficulty--less multiple choice questions and more accounting related simulations. Testing times will be increased as well.

6. LICENSING MATTERS

- **Request by Dexter Sandoval for a Reinstatement of expired exam scores (all four parts)**

Mr. Dexter was afforded the opportunity to address the Board.

A motion was made by Mr. Stewart and seconded by Mr. Roybal to move this item into Executive Session.

The Board voted unanimously to pass the motion.

- **Request for Reinstatement by Sarah Schlomann (exp. 8/31/2008), pursuant 16 NMAC 60.3.11 and 16 NMAC 60.3.12--** Ms. Schlomann was afforded the opportunity to address the Board regarding the request for Reinstatement. A motion was made by Mr. Roybal and seconded by Mr. Houston to approve the Reinstatement of Sarah Schlomann's CPA license.

The Board voted unanimously to pass the motion.

7. EXECUTIVE SESSION

Chairman Emmons moved to enter into executive session. The executive session will be held in accordance with NMSA 1978, Sections 10-15-1 (H) (1), for the limited purpose of:

- Consideration in the matter of the request by Dexter Sandoval for a Reinstatement of expired exam scores (all four parts)
- Consideration of Complaint Committee recommendations in the following cases:
 - Case No. 2016-11

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- Proposed Case Closures:
 - Case No. 2016-06
 - Case No. 2016-08
 - Case No. 2016-09
 - Case No. 2016-10

- Other Circumstances
 - Question of Independence

The motion was seconded by Mr. Stewart to enter into executive session. A roll call vote was taken. Roll call vote: Chairman Jack Emmons-yes, Mr. Patrick Stewart-yes, Mr. Dennis Houston-yes, Mr. William Golden-yes (via phone), and Mr. Benjamin Roybal-yes, to pass the motion.

The members entered into executive session at 9:31 a.m.

8. BOARD DECISIONS

The Board returned from executive session at 9:51 a.m. Chairman Emmons attested that the only items discussed in the executive session were the matters specified on the agenda. A roll call vote was taken: Chairman Jack Emmons, Mr. Patrick Stewart, Mr. Dennis Houston, Mr. William Golden (via phone), and Mr. Benjamin Roybal were present.

- Decision in the Matter of Dexter Sandoval for Reinstatement of expired exam scores (all four parts):

Lack of work experience, no or not enough CPE coursework, and a lapse of 23 years since passing the exams were taken into consideration.

In the matter of Dexter Sandoval, a motion was made by Mr. Stewart and seconded by Mr. Houston to deny the request for reinstatement of Mr. Sandoval's expired exam scores.

- Complaint Committee recommendations: Motions were made by Mr. Stewart and seconded by Mr. Houston to accept the recommendations of the following cases:

- Case No. 2016-11 Issue NCA; defer issuance pending acceptance of a Pre-NCA Stipulated Agreement: payment of \$250.00 fine by November 1, 2016.

- Proposed Case Closures:
 - Case No. 2016-06 Close Case
 - Case No. 2016-08 Close Case
 - Case No. 2016-09 Close Case
 - Case No. 2016-10 Close Case

The Board voted unanimously to pass the motions.

- Other Circumstances:
 - Question of Independence


A motion was made by Mr. Roybal and seconded by Mr. Houston to have the Executive Director, Jeanette Contreras, reply to the question of independence.

The Board voted to 4-0 to pass the motion, with Mr. Stewart abstaining from voting on the motion.

9. ADJOURNMENT

Mr. Roybal moved to adjourn the meeting at 10:11 a.m. and was seconded by Mr. Houston.

The Board voted unanimously to pass the motion to adjourn.



Jack Emmons, CPA, Chairman



Jeanette Contreras, Executive Director