

New Mexico Regulation and Licensing Department

BOARDS AND COMMISSIONS DIVISION

New Mexico Public Accountancy Board

5500 San Antonio Drive, NE • Suite A • Albuquerque, New Mexico 87109
(505) 222-9850 • Fax (505) 222-9855 • www.rld.state.nm.us

MINUTES

Board Meeting

June 20, 2017

BOARD MEMBERS IN ATTENDANCE: Mr. C. Jack Emmons, CPA, Chairman
Mr. Patrick Stewart, Vice Chair, Public Member
Mr. Dennis Houston, Secretary, Public Member
Ms. Maria Cassimus, Treasurer, CPA
Mr. Farley Vener, CPA
Mr. Benjamin Roybal, Esq., Public Member (10:45 a.m. arrival)

TELEPHONIC APPEARANCE: Mr. William R. Golden, CPA

BOARD MEMBERS NOT IN ATTENDANCE: Mr. Benjamin Roybal, Esq., Public Member (10:45 a.m. arrival)

**ATTORNEY GENERAL'S OFFICE
STAFF IN ATTENDANCE:** Mr. David Stevens, AAG, Board Counsel

PUBLIC IN ATTENDANCE: Bob Austin, NMSCPA
Peter Chew, CPA

BOARD STAFF IN ATTENDANCE: Ms. Jeanette Contreras, Executive Director
Mr. Ernest Santucci, Investigator
Ms. Jessica Chavez-Lance, Licensing Manager
Ms. Natalie Frazier, Licensing Administrator
Ms. Alexa Martinez, Administrative Assistant

PLACE & TIME:

The New Mexico Public Accountancy Board held a Board Meeting on June 20, 2017, at 9:00 a.m. in the RLD Conference Room, 5500 San Antonio Dr. NE, Albuquerque, NM 87109.

1. CALL MEETING TO ORDER AND ROLL CALL

Chairman Emmons called the meeting to order at 9:05 a.m. Roll call was taken by Ms. Contreras.

MEMBERS PRESENT: Mr. C. Jack Emmons, CPA, Chairman
Mr. Patrick Stewart, Vice Chair, Public Member
Mr. Dennis Houston, Secretary, Public Member
Ms. Maria Cassimus, Treasurer, CPA
Mr. William R. Golden, CPA (telephonically)
Mr. Farley Vener, CPA

MEMBERS ABSENT: Mr. Benjamin Roybal, Esq., Public Member (10:45 a.m. arrival)

A quorum was determined to be present.

2. APPROVAL OF AGENDA

Member Dennis Houston made a **MOTION** to approve the agenda as written. Member Patrick Stewart **SECONDED** the motion. Motion **PASSED** by **UNANIMOUS APPROVAL**.

3. APPROVAL OF MINUTES FROM APRIL 18, 2017, REGULAR BOARD MEETING

Member Maria Cassimus made a **MOTION** to approve the April 18, 2017, Regular Board Meeting Minutes as written. Member Farley Vener **SECONDED** the motion. Motion **PASSED** by **UNANIMOUS APPROVAL**.

4. EXECUTIVE DIRECTOR REPORT

Executive Director Report – Jeanette Contreras

5. NEW BUSINESS

- a) Report of Western Regional Meeting in Coeur d' Alene, Idaho – Jack Emmons
- b) First online renewal notices – Natalie Frazier
- c) NMSCPA names Kelcy Flanagan as the new society president – Jack Emmons
- d) Request by Alan A. Rosner for an extension of time to complete required CPE – Natalie Frazier

Member Maria Cassimus made a **MOTION** to grant the request for a six month extension of time to complete CPE. Member Patrick Stewart **SECONDED** the motion. Motion **PASSED** with Member Patrick Stewart recused.

- e) Request by Tanzila M. Upama for an extension of exam score – Natalie Frazier

Member Patrick Stewart made a **MOTION** to deny the request for extension of exam score as the request was determined to be premature at this time. Member Farley Vener **SECONDED** the motion. Motion **PASSED** by **UNANIMOUS APPROVAL**.

- f) Discontinuing the automatic issuance of the 20 CPE hours per year minimum advisory letter on first violation – Jeanette Contreras and Ernest Santucci.

Member Patrick Stewart made a **MOTION** to grant the discontinuance of the 20 CPE hours per year minimum advisory letter. Member Maria Cassimus **SECONDED** the motion. Motion **PASSED** by **UNANIMOUS APPROVAL**.

6. OLD BUSINESS

- a) Update on Accountancy Board Metrics – Farley Vener and Jessica Chavez-Lance
- b) Elderly Fraud training – Jeanette Contreras
Additional Elderly Fraud trainings will be determined.

7. EXECUTIVE SESSION

MEMBER C. Jack Emmons made a **MOTION** that the New Mexico Public Accountancy Board enter into Executive Session to discuss the items listed in the agenda, pursuant to Sections 10-15-1 (H) (1) and add any other exceptions here of the Open Meetings Act authorizing closed sessions for matters related to issuance, suspension, renewal, or revocation of a license. MEMBER Patrick Stewart **SECONDED** the motion.

New Mexico Public Accountancy Board
June 20, 2017, Board Meeting Minutes

Roll Call Vote:

<u>BOARD MEMBERS</u>	<u>VOTE</u>
C. Jack Emmons	YES
Patrick Stewart	YES
Dennis Houston	YES
Maria Cassimus	YES
William Golden	YES
Farley Vener	YES

Motion **PASSED** by **UNANIMOUS APPROVAL**. The Board entered into Executive Session at 9:50 a.m., and the recorder was turned off.

The Board returned from Executive Session at 10:45 a.m. The matters discussed in the closed meeting were limited only to the items listed in the motion to close.

a) Settlement Agreements:

Proposed Settlement Case No. 2015-11, in the Matter of John D. Kubiak

Member Patrick Stewart made a **MOTION** to approve the Settlement Agreement for Case No. 2015-11. **Member** Dennis Houston **SECONDED** the motion. Motion **PASSED** by **UNANIMOUS APPROVAL**.

b) Complaints:

Case No. 2017-17	Issue NCA; defer issuance pending acceptance of a Pre-NCA Stipulated Agreement: payment of \$350.00 fine by July 14, 2017.
Case No. 2017-18	Issue NCA; defer issuance pending acceptance of a Pre-NCA Stipulated Agreement: payment of \$1,450.00 fine (\$1350.00 for CPE reporting cycle shortage and \$100.00 for Ethics CPE shortage) by July 30, 2017.
Case No. 2017-19	Issue NCA; defer issuance pending acceptance of a Pre-NCA Stipulated Agreement: payment of \$250.00 fine by July 14, 2017.
Case No. 2017-20	Issue NCA; defer issuance pending acceptance of a Pre-NCA Stipulated Agreement: payment of \$250.00 fine by July 14, 2017.
Case No. 2017-21	Issue NCA; defer issuance pending acceptance of a Pre-NCA Stipulated Agreement: payment of \$225.00 fine by July 14, 2017.

Member Patrick Stewart made a **MOTION** to approve the recommendations of the Complaint Committee. **Member** Benjamin Roybal **SECONDED** the motion. Motion **PASSED** with five (5) in favor and two (2) abstentions by Maria Cassimus and Farley Vener, members of the Complaint Committee.

c) Proposed Case Closures:

Case No. 2017-05	Close Case
Case No. 2017-06	Close Case
Case No. 2017-07	Close Case
Case No. 2017-09	Close Case
Case No. 2017-10	Close Case
Case No. 2017-13	Close Case
Case No. 2017-14	Close Case
Case No. 2017-15	Close Case

Member Patrick Stewart made a **MOTION** to approve the case closures. **Member** Benjamin Roybal **SECONDED** the motion. Motion **PASSED** by **UNANIMOUS APPROVAL**.

8. PUBLIC COMMENT

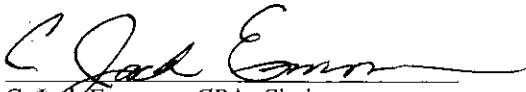
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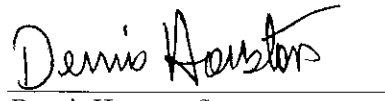
9. NEXT MEETING DATE AND LOCATION

Regular Board meeting, August 22, 2017, in the Albuquerque main conference room.

10. ADJOURNMENT

The meeting was adjourned at 10:49 a.m.


C. Jack Emmons, CPA, Chairman


Dennis Houston, Secretary