



## New Mexico Regulation and Licensing Department

BOARDS AND COMMISSIONS DIVISION

### New Mexico Public Accountancy Board

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(505) 222-9850 • Fax (505) 222-9855 • www.rld.state.nm.us

## MINUTES

Board Meeting

April 19, 2016

### BOARD MEMBERS IN ATTENDANCE:

Mr. C. Jack Emmons, CPA, Chairman,  
Mr. Patrick Stewart, Vice Chair (Entered Meeting at 9:14 a.m.)  
Ms. Maria Cassimus, Treasurer, CPA  
Mr. Farley Vener, CPA  
Mr. Benjamin Roybal, Esq., Public Member

### BOARD MEMBERS NOT IN ATTENDANCE:

Mr. Dennis Houston, Secretary  
Mr. William R. Golden, CPA

### BOARD STAFF IN ATTENDANCE:

Mr. Enrique C Knell, Acting Executive Director  
Ms. Jessica Chavez-Lance, Licensing Manager  
Mr. Ernest Santucci, Investigator  
Ms. Alexa Martinez, Administrative Assistant

### ATTORNEY GENERAL'S OFFICE

#### STAFF IN ATTENDANCE:

Ms. Susan Sullivan, AAG, Board Counsel

### PUBLIC IN ATTENDANCE:

Mr. Steven Houser  
Mr. John A. Carey, NMSCPA

### PLACE & TIME:

The New Mexico Public Accountancy Board held a Board Meeting on April 19, 2016, at 9:00 a.m. in the RLD Conference Room, 5500 San Antonio Dr. NE, Albuquerque, NM 87109.

### 1. CALL TO ORDER; ROLL CALL; INTRODUCTION OF GUESTS

Chairman Emmons called the meeting to order at 9:04 a.m. Roll call was taken: Chairman C. Jack Emmons, Ms. Maria Cassimus, Mr. Farley Vener, and Mr. Benjamin Roybal were present at this time. A quorum was determined present.

Board members not present at this time were: Mr. Patrick Stewart, Mr. Dennis Houston, and Mr. William Golden.

Audience introductions were made following roll call.

### 2. REVIEW AND APPROVAL OF AGENDA

A motion to approve the agenda as requested was made by Chairman Emmons, Ms. Cassimus moved the motion, and was seconded by Mr. Roybal.

The Board voted unanimously to pass the motion.

### **3. APPROVAL OF MINUTES FROM FEBRUARY 16, 2016, REGULAR BOARD MEETING**

A motion was made by Chairman Emmons, Mr. Roybal approved the motion, and seconded by Ms. Cassimus to approve the minutes of the February 16, 2016, regular Board Meeting.

The Board voted unanimously to pass the motion.

### **4. EVENTS AND UPDATES**

- Licensing Administrator Position
- Internship
- RLD Budget Restraints/Travel for the Board and Staff
- Copy of Proposed Rule Amendments now accessible on our website.
- Out Reach Activity—*Preventing Elder Investment Fraud: "Our Public Duty"*

Mr. Knell, Acting Executive Director, informed the Board that the Licensing Administrator position has been placed on hold due to recent budget constraints. Travel will also be limited to a case by case, need based, review. Ms. Chavez-Lance reported that unpaid internships through CNM earning CNM credits were available; however, no applications have yet been received. She also informed the Board that the copy of the proposed rule amendments was now accessible on our website. Chairman Emmons reported that the Elder Investment Fraud activity is a success.

### **5. SWEARING IN CEREMONY**

- CONFIRMED Keynote Speaker, Tim Keller
- The Anderson School of Business will co-sponsor the ceremony.

### **6. RESCHEDULING OF BOARD MEETING**

- Reschedule June 21, 2016 Board meeting to September 20, 2016

A motion was made by Mr. Stewart and seconded by Ms. Cassimus to reschedule the June 21, 2016 board meeting to September 20, 2016.

The Board voted to unanimously to pass the motion.

### **7. UNIFORM CPA EXAMINATION UPDATE**

Chairman Emmons informed the Board that as of April 1, 2017, the revised CPA examination will be in effect. Fees will also be adjusted accordingly.

### **8. NASBA's REQUEST TO RELEASE LIMITED CANDIDATE INFORMATION**

NASBA has requested to release limited candidate name, address, and e-mail information. Chairman Emmons informed the Board that NASBA is a trade association and not a governmental entity. A motion was made to agree to the release of name and address information by Ms. Cassimus, and seconded by Mr. Vener.

The Board voted 4-1 to pass the motion.

### **9. REQUEST FOR EXTENSION OF CPA EXAMINATION TIME**

- **Request by Ashley Romero for an extension of credit AUD until 8/24/2016 (expired on 11/30/15) and Extension of Credit BEC until 8/24/16 (expired on 1/11/16)** – A motion was made by Ms. Cassimus and seconded by Mr. Stewart to approve Ashley Romero's request for an Extension of the AUD and BEC portions of the CPA exam until July 30, 2016. The Board voted unanimously to pass the motion.

## 10. LICENSING MATTERS:

- **Request by John U. Uwajumogu to reinstate his CPA (exp. 11/30/2009)**, pursuant 16 NMAC 60.3.12 (B) – A motion was made by Chairman Emmons to approve Mr. Uwajumogu’s reinstatement for a CPA license and seconded by Mr. Vener. The Board voted unanimously to pass the motion.
- **Request by Elise M. Mignardot to reinstate her CPA (exp. 06/30/2001)**, pursuant 16 NMAC 60.3.12 (B) – A motion was made by Mr. Vener to approve Ms. Mignardot’s reinstatement for CPA license and seconded by Ms. Cassimus. The Board voted unanimously to pass the motion.

## 11. EXECUTIVE SESSION

Chairman Emmons moved to enter into executive session. The executive session will be held in accordance with NMSA 1978, Sections 10-15-1 (H) (1), for the limited purpose of:

- Consideration of Case No. 2012-04
- Consideration of Complaint Committee recommendations in the following cases:
  - Case No. 2014-04
  - Case No. 2015-27
  - Case No. 2016-01
  - Case No. 2016-03
- Proposed Case Closures:
  - Case No. 2016-02
- Applications:
  - Applicant #986959
  - Applicant #993206

The motion was seconded by Mr. Roybal to enter into executive session. A roll call vote was taken. Roll call vote: Chairman Jack Emmons-yes, Mr. Patrick Stewart-yes, Ms. Maria Cassimus-yes, Mr. Farley Vener-yes, and Mr. Benjamin Roybal-yes, to pass the motion.

The members entered into executive session at 9:46 a.m.

## 12. BOARD DECISIONS

The Board returned from executive session at 10:23 a.m. Chairman Emmons attested that the only items discussed in the executive session were the matters specified on the agenda. A roll call vote was taken: Chairman Jack Emmons, Mr. Patrick Stewart, Ms. Maria Cassimus, Mr. Farley Vener, and Mr. Benjamin Roybal, were present.

- Consideration of Case No. 2012-04 – Settlement approved.
- **Complaint Committee Recommendations:** A motion was made by Mr. Stewart and seconded by Mr. Roybal to accept the recommendations of the following cases:
  - Case No. 2014-04            Revoke license.
  - Case No. 2015-27            Dismiss case.
  - Case No. 2016-01            Issue amended NCA; defer issuance pending acceptance of an amended Pre-NCA Stipulated Agreement: payment of \$250.00 and ten hours of community service or fifteen hours of community service by July 1, 2016.
  - Case No. 2016-03            Issue advisory letter.

New Mexico Public Accountancy Board  
April 19, 2016, Board Meeting Minutes

- Proposed Case Closures:
  - Case No. 2016-02            Close Case.
  
- Applications:
  - Applicant #986959            Issue license.
  - Applicant #993206            Issue license.

The Board voted unanimously to pass the motion.

**13. ADJOURNMENT**

A motion was made by Chairman Emmons and seconded by Mr. Vener, to adjourn the meeting at 10:29 a.m.

The Board voted unanimously to pass the motion to adjourn.

  
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Jack Emmons, CPA, Chairman

  
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Jeanette Contreras, Executive Director