



New Mexico Regulation and Licensing Department
BOARDS AND COMMISSIONS DIVISION
New Mexico Public Accountancy Board
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MINUTES
Board Meeting
October 20, 2015

BOARD MEMBERS IN ATTENDANCE: Mr. C. Jack Emmons, Chairman, CPA
Mr. Patrick Stewart, Vice Chair
Mr. William Golden, Secretary
Mr. Dennis Houston, Treasurer
Mr. Farley Vener, CPA

BOARD MEMBERS IN NOT ATTENDANCE: Ms. Maria Cassimus, CPA
Mr. Ben Roybal, Public Member

BOARD STAFF IN ATTENDANCE: Ms. Jeanette Contreras, Executive Director
Ms. Jessica Chavez-Lance, Licensing Administrator
Mr. Ernest Santucci, Investigator
Ms. Alexa Martinez, Administrative Assistant

**ATTORNEY GENERAL'S OFFICE
STAFF IN ATTENDANCE:** Ms. Susan Sullivan, AAG, Board Counsel

PUBLIC IN ATTENDANCE: Mr. Peter Chew, CPA
Ms. Mandy Funchess, CPA

PLACE & TIME:

The New Mexico Public Accountancy Board held a Board Meeting on October 20, 2015, at 9:00 a.m. in the RLD Conference Room, 5500 San Antonio Dr. NE, Albuquerque, NM 87109.

1. CALL TO ORDER; ROLL CALL; INTRODUCTION OF GUESTS

Chairman Emmons called the meeting to order at 9:06 a.m. Roll call was taken: Mr. C. Jack Emmons, Mr. Patrick Stewart, Mr. William Golden, Mr. Dennis Houston, and Mr. Farley Vener were present at this time.

Board members not present at this time were: Ms. Maria Cassimus and Mr. Ben Roybal.

Audience introductions were made following roll call.

2. REVIEW AND APPROVAL OF AGENDA

A motion to approve the agenda as amended was made by Mr. Golden and seconded by Mr. Stewart.

The Board voted unanimously to pass the motion.

3. APPROVAL OF MINUTES FROM AUGUST 11, 2015, REGULAR BOARD MEETING

August 11, 2015, minutes corrections:

- Regarding Article II, Dennis Houston, Secretary, CPA, Professional Member, "CPA" will be eliminated.
- Regarding Article V, the NASBA 108th meeting destination will be corrected from Washington D.C. to California.

A motion was made by Chairman Emmons and seconded by Mr. Stewart to approve the minutes, as corrected, of the August 11, 2015, regular Board Meeting.

The Board voted unanimously to pass the motion.

4. STATUS OF EXECUTIVE DIRECTOR POSITION

Chairman Emmons introduced Jeanette Contreras as the newly appointed Executive Director of the New Mexico Accountancy Board. Introductions of Jessica Chavez-Lance, Licensing Administrator, and Alexa Martinez, Administrative Assistant, were made as well.

5. ESTABLISH BOARD MEETING DATES FOR 2016

A motion was made by Mr. Stewart and seconded by Chairman Emmons, to approve the Board meeting dates for 2016 as presented, which may be subject to change.

- February 16, 2016
- April 19, 2016
- June 21, 2016
- August 16, 2016
- October 18, 2016
- December 6, 2016

The Board voted unanimously to pass the motion.

6. APPOINTMENT OF RULES COMMITTEE

Chairman Emmons stated that at the national level, peer review is changing. An appointed task force will develop a plan for drafting new rules to address any inconsistencies with the New Mexico Uniform Accountancy Act. Meetings are scheduled for November 12, 2015, for planning, December 18, 2015, for drafting, and January 22, 2016, to review drafts for submission to the Board (this date is tentative).

A motion was made by Mr. Golden and was seconded by Mr. Stewart to approve the task force.

The Board voted unanimously to pass the motion.

7. ANNUAL EVENTS & UPDATES

- Swearing In Ceremony—November 18, 2015
Board member attendance
Keynote Speaker—Mr. Tim Keller, State Auditor
- AICPA updates-CPA exam exposure draft—2017 changes
- Dona Ana County Chapter of CPAs, Scholarship Fundraiser—October 27, 2015
- NASBA Conference in Dana Point, California—Mr. Patrick Stewart, Vice Chair, to attend

8. EXECUTIVE SESSION

Chairman Emmons moved to enter into executive session. The executive session will be held in accordance with NMSA 1978, Sections 10-15-1 (H) (1) for the limited purpose of:

Information Update:

- o Case No. 2013-20 In the Matter of Kirk Milke

Consideration of Complaint Committee recommendations in the following cases:

- o Case No. 2015-22
- o Case No. 2015-23
- o Case No. 2015-25

Proposed Case Closures:

- o Case No. 2014-70
- o Case No. 2015-18
- o Case No. 2015-19
- o Case No. 2015-21

Applications:

- o Applicant 972503

Other Circumstances:

- o Information: Licensed firm with no New Mexico facility disciplined in another jurisdiction.

The motion was seconded by Mr. Houston to enter into executive session. A roll call vote was taken. Roll call vote: Chairman Emmons-yes, Mr. Stewart-yes, Mr. Golden-yes, Mr. Houston-yes, Mr. Vener-yes, to pass the motion.

The members entered Executive Session at 9:29 A.M.

9. BOARD DECISIONS

The Board returned from executive session at 10:23 a.m.

Information Update:

- o Case No. 2013-20 In the Matter of Kirk Milke Discussed

Complaint Committee Recommendations: A motion was made and read by Mr. Stewart and seconded by Mr. Golden, to accept the recommendations of the following cases:

- o Case No. 2015-22 Dismiss
- o Case No. 2015-23 Dismiss
- o Case No. 2015-25 Dismiss

Proposed Case Closures:

- o Case No. 2014-70 Close Case
- o Case No. 2015-18 Close Case
- o Case No. 2015-19 Close Case
- o Case No. 2015-21 Close Case

Applications:

- o Applicant 972503 Approved

Other Circumstances:

- o Information: Licensed firm with no New Mexico facility disciplined in another jurisdiction. Case will be opened.

The Board voted unanimously to pass the motion.


10. ADJOURNMENT

A motion was made by Mr. Stewart and seconded by Mr. Houston, to adjourn the meeting at 10:28 a.m.

The Board voted unanimously to pass the motion to adjourn.



C. Jack Emmons, CPA, Chairman



~~William Golden, Secretary~~

Jeanette Contreras, Executive Director